

ON REAL-VALUED FUNCTIONS HAVING THIN GRAPHS IN THE PLANE

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Abstract. Two classes of functions acting from the real line \mathbf{R} into itself are considered and compared: those whose graphs are totally imperfect in the plane \mathbf{R}^2 and those whose graphs are the absolute null sets in \mathbf{R}^2 .

Throughout this short communication, the following notation will be used.

\mathbf{R} is the set of all real numbers (the real line);

\mathbf{c} is the cardinality of the continuum (i.e., $\mathbf{c} = \text{card}(\mathbf{R})$);

ω is the least infinite cardinal number and ω_1 is the least uncountable cardinal number;

λ is the standard Lebesgue measure on \mathbf{R} ;

\mathbf{R}^2 is the Euclidean plane (i.e., $\mathbf{R}^2 = \mathbf{R} \times \mathbf{R}$);

λ_2 is the Lebesgue two-dimensional measure on \mathbf{R}^2 (i.e., λ_2 is the completion of the product measure $\lambda \otimes \lambda$).

$\mathcal{M}_0(\mathbf{R})$ is the class of the completions of all those nonzero σ -finite Borel measures on \mathbf{R} which vanish at every singleton in \mathbf{R} .

$\mathcal{M}(\mathbf{R})$ is the class of all those nonzero σ -finite measures on \mathbf{R} which vanish at every singleton in \mathbf{R} .

Clearly, the proper inclusion $\mathcal{M}_0(\mathbf{R}) \subset \mathcal{M}(\mathbf{R})$ holds true.

ZF & DC is an abbreviation of **ZF** set theory with the Axiom of Dependent Choices (see [2]).

We say that a function $f : \mathbf{R} \rightarrow \mathbf{R}$ is absolutely nonmeasurable with respect to the class $\mathcal{M}_0(\mathbf{R})$ ($\mathcal{M}(\mathbf{R})$) if f is nonmeasurable with respect to any measure belonging to $\mathcal{M}_0(\mathbf{R})$ (to $\mathcal{M}(\mathbf{R})$).

Recall that a subset X of a topological space E is totally imperfect if there exists no subspace of E homeomorphic to the Cantor space $\{0, 1\}^\omega$ and entirely contained in X (cf. [1, 4–8]).

Observe that a subset X of a metric space E is totally imperfect if and only if X does not contain an uncountable compact subspace of E .

In the definition below, a topological space E is assumed to have the following property: each singleton in E is a Borel subspace of E .

A subset Y of a space E is called an absolute null if $\mu^*(Y) = 0$ for every nonzero σ -finite Borel measure μ on E vanishing at all singletons in E (here, μ^* denotes, as usual, the outer measure produced by μ).

Clearly, any absolute null subset of E is simultaneously totally imperfect in E .

As is known, absolute null sets and totally imperfect sets are the natural representatives of the so-called thin sets in topological spaces (see, e.g., [6]). There are many examples of totally imperfect subsets of \mathbf{R} which are not absolute null. Moreover, the family of all absolute null sets in \mathbf{R} forms a proper σ -ideal in the boolean of \mathbf{R} . On the other hand, if B is a Bernstein subset of \mathbf{R} (see, e.g., [1, 4–8]), then both sets B and $\mathbf{R} \setminus B$ are totally imperfect, but their union coincides with the entire \mathbf{R} .

Lemma 1. *Let E_1 and E_2 be two metric spaces and let X be a totally imperfect subset of E_1 . Suppose also that for every point $x \in X$, there is given a nonempty subset Y_x of E_2 that is totally imperfect in E_2 .*

Then the set $Z = \cup \{ \{x\} \times Y_x : x \in X \}$ is totally imperfect in the topological product space $E_1 \times E_2$.

Remark 1. The converse assertion does not hold, in general. Also, it may happen that a set Z is totally imperfect in the product space $E_1 \times E_2$ and all sets $(\{x\} \times E_2) \cap Z$ are one-element whenever $x \in E_1$, but $\text{pr}_2(Z) = E_2$ (cf., Theorem 3 below).

Lemma 2. *Let E_1 and E_2 be two topological spaces and let X be an absolute null subset of E_1 . Suppose also that for every point $x \in X$, there is given a nonempty subset Y_x of E_2 , that is absolute null in E_2 .*

Then the set $Z = \cup \{\{x\} \times Y_x : x \in X\}$ is an absolute null in the topological product space $E_1 \times E_2$.

Remark 2. The converse assertion does not hold, in general. Also, it may happen that a set Z is an absolute null in the product space $E_1 \times E_2$ and all sets $(\{x\} \times E_2) \cap Z$ are one-element whenever $x \in E_1$, but $\text{pr}_2(Z) = E_2$ (cf., Theorem 4 below).

Theorem 1. *In **ZF** & **DC** theory, the following three assertions are equivalent:*

- (1) *there exists a totally imperfect subset of \mathbf{R} whose cardinality is \mathfrak{c} ;*
 - (2) *there exists a function acting from \mathbf{R} into \mathbf{R} which is absolutely nonmeasurable with respect to the class $\mathcal{M}_0(\mathbf{R})$;*
 - (3) *there exists a partition of \mathbf{R} into \mathfrak{c} many totally imperfect sets, all of which have cardinality \mathfrak{c} .*
- In the same theory, for a function $f : \mathbf{R} \rightarrow \mathbf{R}$, the following three assertions are also equivalent:*
- (a) *f is absolutely nonmeasurable with respect to $\mathcal{M}_0(\mathbf{R})$;*
 - (b) *the graph of f is totally imperfect in \mathbf{R}^2 ;*
 - (c) *the composition $f \circ \phi$ is λ -nonmeasurable whenever ϕ is a Borel bijection of \mathbf{R} onto itself.*

Let us mention one consequence of Theorem 1. Let f and g be two functions from \mathbf{R} to \mathbf{R} such that $f \circ g$ is the identity mapping of \mathbf{R} . If f is absolutely nonmeasurable with respect to $\mathcal{M}_0(\mathbf{R})$, then g is also absolutely nonmeasurable with respect to $\mathcal{M}_0(\mathbf{R})$.

In particular, a bijection f of \mathbf{R} onto itself is absolutely nonmeasurable with respect to $\mathcal{M}_0(\mathbf{R})$ if and only if its reverse f^{-1} is absolutely nonmeasurable with respect to $\mathcal{M}_0(\mathbf{R})$.

Theorem 2. *In **ZF** & **DC** theory, the following two assertions are equivalent:*

- (1) *there exists an absolute null subset of \mathbf{R} whose cardinality is \mathfrak{c} ;*
- (2) *there exists a function $g : \mathbf{R} \rightarrow \mathbf{R}$ absolutely nonmeasurable with respect to the class $\mathcal{M}(\mathbf{R})$.*

In the same theory, a function $g : \mathbf{R} \rightarrow \mathbf{R}$ is absolutely nonmeasurable with respect to $\mathcal{M}(\mathbf{R})$ if and only if the range of g is an absolute null subset of \mathbf{R} and the sets $g^{-1}(r)$ are at most countable for all $r \in \mathbf{R}$.

Remark 3. It follows from Lemma 2 and Theorem 2 that if a function $g : \mathbf{R} \rightarrow \mathbf{R}$ is absolutely nonmeasurable with respect to $\mathcal{M}(\mathbf{R})$, then the graph of g is an absolute null in \mathbf{R}^2 and hence is totally imperfect in \mathbf{R}^2 .

Remark 4. If a function $h : \mathbf{R} \rightarrow \mathbf{R}$ is such that the graph of h is an absolute null subset of \mathbf{R}^2 , then it may happen that h is not absolutely nonmeasurable with respect to the class $\mathcal{M}(\mathbf{R})$.

Remark 5. By the definition, a Sierpiński-Zygmund function is any function $f : \mathbf{R} \rightarrow \mathbf{R}$ such that for each set $X \subset \mathbf{R}$ with $\text{card}(X) = \mathfrak{c}$, the restriction $f|_X$ is not continuous on X (see [5, 9]). Every Sierpiński-Zygmund function is absolutely nonmeasurable with respect to the class $\mathcal{M}_0(\mathbf{R})$. At the same time, there exists a Sierpiński-Zygmund function g whose graph is a λ_2 -thick set in \mathbf{R}^2 (this phrase means that the graph of g has common points with every Borel subset of \mathbf{R}^2 of strictly positive λ_2 -measure). Therefore, g is not absolutely nonmeasurable with respect to the class $\mathcal{M}(\mathbf{R})$. Moreover, the existence of absolutely nonmeasurable functions with respect to $\mathcal{M}(\mathbf{R})$ cannot be established within the **ZFC** set theory, because there are models of **ZFC** in which $\mathfrak{c} > \omega_1$ and the cardinality of any absolute null set in \mathbf{R} (or in \mathbf{R}^2) does not exceed ω_1 (for further details, see [2]).

Lemma 3. *Let X and Y be two infinite sets such that*

$$\text{card}(X) = \text{card}(Y) = \mathfrak{a}$$

and let Z be a subset of $X \times Y$ satisfying the relations

$$\begin{aligned} (\forall x \in X) (\text{card}(\{x\} \times Y \cap Z) &= \mathfrak{a}), \\ (\forall y \in Y) (\text{card}(X \times \{y\} \cap Z) &= \mathfrak{a}). \end{aligned}$$

Then there exists a bijection $h : X \rightarrow Y$ whose graph is contained in Z .

Using Lemma 3 and a Bernstein subset of the plane \mathbf{R}^2 , one obtains the next statement.

Theorem 3. *There exists a function $f : \mathbf{R} \rightarrow \mathbf{R}$ such that:*

- (1) *f is a bijection of \mathbf{R} onto itself;*
- (2) *the graph of f is totally imperfect in \mathbf{R}^2 (i.e., f is absolutely nonmeasurable with respect to the class $\mathcal{M}_0(\mathbf{R})$).*

Remark 6. The existence of a function acting from \mathbf{R} into \mathbf{R} and absolutely nonmeasurable with respect to $\mathcal{M}_0(\mathbf{R})$ cannot be established within **ZF** & **DC** theory. It is easy to see that the characteristic function of a Bernstein set in \mathbf{R} is absolutely nonmeasurable with respect to $\mathcal{M}_0(\mathbf{R})$. So, any representative of Bernstein sets in \mathbf{R} turns out to be nonmeasurable with respect to each measure from the class $\mathcal{M}_0(\mathbf{R})$. The converse assertion is also true.

Theorem 4. *Assuming Martin's Axiom, there exists a function $g : \mathbf{R} \rightarrow \mathbf{R}$ such that:*

- (1) *g is a bijection of \mathbf{R} onto itself;*
- (2) *the graph of g is an absolute null in \mathbf{R}^2 .*

Remark 7. Theorem 4 cannot be proved without using additional set-theoretical assumptions (see Remark 5). In view of (1) of this theorem, the function g is not absolutely nonmeasurable with respect to the class $\mathcal{M}(\mathbf{R})$.

Remark 8. If there exists a well-ordering \preceq of \mathbf{R} which is isomorphic to ω_1 and simultaneously is a projective subset of \mathbf{R}^2 (in the sense of Luzin and Sierpiński), then there exists a function $h : \mathbf{R} \rightarrow \mathbf{R}$ such that:

- (a) the graph of h is a projective subset of \mathbf{R}^2 ;
- (b) h is absolutely nonmeasurable with respect to the class $\mathcal{M}(\mathbf{R})$.

It follows from (a) and (b) that the graph of h turns out to be an absolute null subset of \mathbf{R}^2 and so is also totally imperfect in \mathbf{R}^2 . In addition, the existence of h implies that there is a countable family $\{X_i : i \in I\}$ of projective subsets of \mathbf{R} which satisfy the following relations:

- (c) the projective order of any set X_i ($i \in I$) does not exceed some fixed natural number n (where n depends only on the projective order of \preceq);
- (d) for every measure $\mu \in \mathcal{M}(\mathbf{R})$, at least one set X_i is not measurable with respect to μ .

For more details about h and the family $\{X_i : i \in I\}$, see [3]. Also, it can be deduced from the result of [3] that the following statement is consistent with **ZFC** set theory:

- (e) there exists a projective subset P of \mathbf{R}^2 such that all vertical sections of P are of cardinality \mathfrak{c} (hence $\text{pr}_1(P) = \mathbf{R}$) and any uniformization of P is absolutely nonmeasurable with respect to the class $\mathcal{M}(\mathbf{R})$.

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