Admissibility of Multiple-Conclusion Rules in Logics with the Disjunction Property

Alex Citkin

ToLo, June 16, 2016

The disjunction property (DP) was observed by Gödel for intuitionistic logic (Int). Specifically, for any (propositional) formulas A, B if $A \lor B \in Int$, then $A \in Int$ or $B \in Int$. It turned out [Wroński, 1973] that there are continuum many intermediate logics enjoying the DP. For such logics, if we have a basis R of admissible rules, the set

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Moreover, if R consists of rules of form $A \lor q/B \lor q$, where q is not occurring in A, B, and R is an independent basis of admissible rules, (1) is an independent basis for admissible m-rules.

For instance, m-rules $p \lor q/p, q$ and $p \land \neg p/\emptyset$ form an independent basis for m-rules admissible in the Medvedev logic.

The converse also holds: if an intermediate logic L enjoys the DP and $\Gamma_i/\Delta_i, i \in I$ is a basis of admissible m-rules,

$$\bigwedge \Gamma_i \vee q / \bigvee \Delta_i \vee q, i \in I,$$
(2)

where q does not occur in formulas from Γ_i, Δ_i , forms a basis of rules admissible for L (comp. [Rybakov, 1985]).

The same holds for Gabbay - de Jongh logics and modal logics with the DP

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The goal: to show how these relations between bases of admissible rules and m-rules can be extended to a very broad class of logics.

Outline.

- Basic Definitions
- Derivations in Multiple-Conclusion Logics
- Disjunction in Multiple-Conclusion Logics
- From Basis to m-Basis
- *q*-Extensions: from Basis to m-Basis preserving Independence
- From m-Basis to Basis

Logics.

We consider a countable set \mathcal{P} of (propositional) variables and finite set of connectives \mathcal{C} . The (propositional) formulas are constructed in a usual way. Let Fm be a set of all propositional formulas.

A set of formulas $\mathsf{L}\subseteq\mathsf{Fm}$ closed under substitutions is a (propositional) logic.

An ordered pair Γ/Δ of finite sets of formulas $\Gamma, \Delta \subseteq Fm$ is called an *m*-rule. Formulas from Γ are *premises*. Formulas from Δ are *alternatives*.

We use \top and \bot to denote empty sets of premises and alternatives. That is, we write \top/Δ and Γ/\bot instead of \emptyset/Δ and Γ/\emptyset .

m-Rule System.

A (finitary structural) m-rule system is a set R of m-rules (writing $\Gamma \vdash_{R} \Delta$ instead of $\Gamma / \Delta \in R$) satisfying for all finite sets $\Gamma, \Gamma', \Delta, \Delta' \subseteq Fm$ and formulas $A \in Fm$:

1.
$$A \vdash_{\mathsf{R}} A$$
, (R)

2. if
$$\Gamma \vdash_{\mathsf{R}} \Delta$$
, then $\Gamma, \Gamma' \vdash_{\mathsf{R}} \Delta, \Delta'$, (M)

3. if
$$\Gamma, A \vdash_{R} \Delta$$
 and $\Gamma \vdash_{R} A, \Delta$, then $\Gamma \vdash_{R} \Delta$,

4. if
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, then $\sigma(\Gamma) \vdash_{\mathsf{R}} \sigma(\Delta)$ for each substitution σ . (S

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(M) and (T) entail: for any finite sets $\Gamma_0, \Gamma_1, \Delta$ and any formula A

$$\text{if } \Gamma_0, A \vdash_R \Delta \text{ and } \Gamma_1 \vdash_R A, \Delta, \text{then } \Gamma_0, \Gamma_1 \vdash_R \Delta, \qquad (\mathsf{T}^*)$$

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Given an m-rule system R, the set $Th(R) := \{A \in Fm : \vdash_R A\}$ is called a set of *theorems* of R. Clearly, Th(R) is a logic. If L is a logic and L = Th(R), we say that m-rule system R *defines logic* L.

m-Rules.

A logic L is *consistent* if there is a formula A, such that $A \notin L$. We call a logic *substitutionally consistent* (s-consistent for short) if there is a finite set of formulas $A_i, i < k$, such that for neither substitution σ , $\sigma(A_i) \in L$ for all i < k (not L-unifiable set of formulas).

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If R is a set of m-rules, by [R] we denote the least m-rule system containing all m-rules from R. If R is an m-rule system and $R = [R_0]$ for some set of m-rules R_0 , we say that R_0 is an *m*-basis of R.

If R is m-rule system and R_0 is a set of m-rules, we let

$$\mathsf{R} + \mathsf{R}_0 := [\mathsf{R} \cup \mathsf{R}_0].$$

Rules.

The m-rules having a single conclusion are called the *rules*. By R° or by \vdash_{R}° we denote a set of all rules from R, that is,

 $\mathsf{R}^{\circ} := \{ \Gamma / A : \Gamma / A \in \mathsf{R} \}.$

A set of rules satisfying the following conditions is called a *rule system*:

1. $A \vdash_{\mathsf{L}} A$, (R)

2. if
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3. if
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If R is a rule system, we let $[R]^{\circ}$ to be the least rule system containing all rules from R, and $R_0 + R_1 := [R_0 \cup R_1]^{\circ}$.

Let L be a logic.

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For the rules all three classes of rules systems coincide, while for m-rule systems all three classes may be distinct. For instance, for classical logic m-rule $p \lor q/p, q$ is strongly conservative, but not admissible. For intermediate logic of seven-element cyclic Heyting algebra this m-rule is conservative, but not strongly conservative.

Let R be a set of m-rules and Γ be a finite (maybe empty) set of formulas. An *inference from* Γ *by* R ((R, Γ)-inference for short) is a labeled tree, defined by induction:

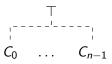
- (-) A tree containing only a root labeled by \top is an (R, Γ)-inference a *trivial inference*;
- (a) If I is an (R, Γ) -inference, then a tree obtained from I by adjoining to an extendable (that is, not labeled by \bot) leaf, an immediate successor labeled by a formula from Γ is an (R, Γ) -inference;
- (b) If I is an (R, Γ)-inference and n is an extendable leaf, then a tree, obtained from I by adjoining to n immediate successors n₀,..., n_{m-1} labeled by formulas B₀,..., B_{m-1}, is (R, Γ)-inference, provided there is an instance Γ/Δ of a rule from R such that

$$\Gamma \subseteq \lambda(\mathfrak{n}\uparrow) \text{ and } \Delta = \{B_0, \dots, B_{m-1}\},\$$

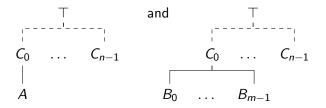
where $\lambda(\mathfrak{n}\uparrow)$ is a set of labels of all predecessors of \mathfrak{n} .

m-Inference: Example.

Given an (R, Γ) -inference



and an m-rule $\{A_i, i < n\} / \{B_j, j < m\} \in \mathsf{R}$, the trees



are (R, Γ)-inferences, provided $A \in \Gamma$ and all premises A_i , i < n can be found on the branch between leaf C_0 and the root.

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m-Rules vis-à-vis Rules.

A length len(I) of an inference I is a number of nodes distinct from leaves. That is, len(I) is a number of "steps" in the inference.

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Let R be a set of m-rules and $r := \Gamma/\Delta$ be an m-rule. We say that r is *derivable from rules* R (in written R \vdash r) if there is a (R, Γ)-inference I such that $\lambda(Iv(I)) \subseteq \Delta$, i.e. every leaf formula is an alternative from Δ .

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Theorem (comp. [lemhoff, 2015]) Suppose R is a set of rules. Then

 $[\mathsf{R}] = \{\mathsf{r} : \mathsf{R} | -\mathsf{r}\}.$

A proof can be done by induction on the length of inference. **Remark.** The above definition of inference is slightly different from the definitions in [Shoesmith and Smiley, 2008] and [lemhoff, 2015].

\lor -Introduction.

We assume that there is a formula D(p,q) (a finite set of formulas $D_i(p,q), i < k$) on two variables that possesses properties of disjunction. To make the notation more suggestive we write $p\nabla q$.

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dir :=
$$p/p\nabla q$$
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In the case of a set of formulas, the $\lor\text{-introduction}$ rules are

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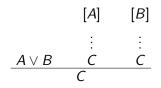
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For \lor -elimination rules we use a multiple-alternative rule.

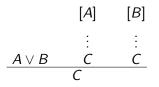
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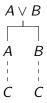


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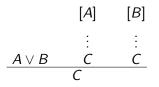


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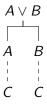


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 \lor -Elimination in the multiple-alternative setting:



de :=
$$p\nabla q/p, q$$

Properties of ∇ .

If R is a set of rules and R \vdash dil, R \vdash dir, R \vdash de, we say that ∇ is *m*-disjunction for R.

Given a set of m-rules, the m-disjunction for R is unique modulo \vdash .

Proposition

Let R be a set m-rules. If D(p,q) and D'(p,q) are m-disjunctions for R then

$$\mathsf{R}dash D(p,q)/D'(p,q)$$
 and $\mathsf{R}dash D'(p,q)/D(p,q).$

In the multiple-formula setting, if $\nabla = \{D_j(p,q), j < k\}$ and $\nabla' = \{D'_l(p,q), l < s\},\$ $\mathsf{R} \vdash D_0, \dots, D_{k-1}/D'_l,$ for every l < s.

and

Properties of ∇ .

The following rules play special role:

$$dir := p/p\nabla q$$

$$dil := p/q\nabla p$$

$$dc := p\nabla q/q\nabla p$$

$$dar := (p\nabla q)\nabla r/p\nabla (q\nabla r)$$

$$dal := p\nabla (q\nabla r)/(p\nabla q)\nabla r$$

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Let
$$D^{\circ} := \{ \text{dir}, \text{dil}, \text{dc}, \text{dar}, \text{dal}, \text{dsd}, \text{did} \}$$

Example
For Int, $D(p,q) = p \lor q$. For S4, $D(p,q) = \Box p \lor \Box q$. For BCK,
 $D(p,q) = (p \to q) \to q$ [Kowalski, 2014].

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Proposition

Let R be a set m-rules and ∇ be an m-disjunction for R. Then the following holds:

(a) $R \vdash r$ for every $r \in D^{\circ}$;

(b) If $R \vdash A \nabla B$ and $R \vdash A/C$ and $R \vdash B/C$, then $R \vdash A \nabla B/C$ for every $A, B, C \in Fm$.

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Proposition

Let R be a set m-rules and ∇ be an m-disjunction for R. Then the following holds:

(a) $R \models r$ for every $r \in D^{\circ}$;

(b) If $R \vdash A \nabla B$ and $R \vdash A/C$ and $R \vdash B/C$, then $R \vdash A \nabla B/C$ for every $A, B, C \in Fm$.

Rule de is not the same as the disjunction property: for **every** intermediate logic L, \lor is an m-disjunction for a rule system consisting of axiom schemas of L (treated as rules) and modus ponens, regardless whether L enjoys the DP. m-Rule de is "equivalent" to the DP only when it is **admissible** for Th(R).

Let L be a logic. Then the set of all m-rules admissible in L forms an m-rule system Adm(L), and the set of all admissible in L rules forms a rule system $Adm^{\circ}(L)$.

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If $\Gamma/\Delta \in Adm(L)$ and Γ is not L-unifiable, m-rule Γ/Δ is called *passive*. Clearly, if Γ/Δ is a passive m-rule, then the rule Γ/\bot is passive too.

Proposition

Let L be a logic, $\Gamma \subseteq L$ be a not L-unifiable set of formulas, and let \mathbb{R}° be a basis of admissible rules. Then any passive m-rule can be derived from m-rules

R° and Γ/\bot .

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R° and Γ/\perp .

For instance, in **S4.3** all passive m-rules can be derived from $\Diamond p \land \Diamond \neg p / \bot$ (comp. [Rybakov et al., 2000]) or from $p \land \neg p / \bot$.

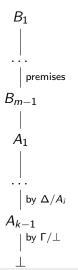
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From basis to m-basis.

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Suppose sets $\Gamma := \{A_i, i < k\}$ and $\Delta := \{B_j, j < m\}$ are not L-unifiable. We derive Δ/\perp from Γ/\perp , using $\sim \Delta/A_i$, i < k:



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Theorem

Let L be a logic, ∇ be m-disjunction for Adm(L) and R° be a basis of Adm^(L). Then,

(a) If L is s-consistent and Γ is any finite not L-unifiable set of formulas,

D°

$$\mathsf{R}^\circ,\qquad \mathsf{de}$$

de, Γ/\perp

is a basis for Adm(L);

From basis to m-basis: some applications.

Corollary

Let L be a logic and Adm(L) has an m-disjunction. Then

(a) $Adm^{\circ}(L)$ is decidable if and only if Adm(L) is decidable;

- (b) If $Adm^{\circ}(L)$ has a finite base, then Adm(L) has a finite base;
- (c) If Adm^o(L) has a finite basis relative to L, then Adm(L) has a finite basis relative to L.

From basis to m-basis: some applications.

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- (b) If Adm^o(L) has a finite base, then Adm(L) has a finite base;
- (c) If Adm^o(L) has a finite basis relative to L, then Adm(L) has a finite basis relative to L.

Due to $Adm^{\circ}(L)$ being decidable for every $L \in \{K4, K4.1, S4, S4.1, Grz, Int, , D_n, n \ge 1\}$ ([Rybakov, 1997]), we have that for all these logics Adm(L) is decidable too ([Rybakov, 1997]).

Due to $Adm^{\circ}(Int^{+})$ and $Adm^{\circ}(Jhn)$ being decidable ([Odintsov and Rybakov, 2013]), $Adm(Int^{+})$ and Adm(Jhn) is decidable too. Visser's rules form a basis of $Adm^{\circ}(Int)$ ([lemhoff, 2001]), hence Visser's rules together with de and $p \wedge \neg p/\bot$ is a basis of Adm(Int).

m-Rule $p \land \neg p/\bot$ is not derivable from Visser's rules. In general, m-rule of form Γ/\bot is not derivable from any set of rules that have non-empty set of alternatives.

Let A be a formula and q be a variable not occurring in A. Then formula $(A\nabla q)$ is a q-extension of A. We let $\top^q := \top$ and $\perp^q := q$.

Definition

q-Extension of an m-rule $r := \Gamma/\Delta$ is a rule r^q obtained from r by replacing every premise from Γ by its *q*-extension, and by replacing alternatives Δ by *q*-extension of the formula obtained by connecting all formulas from Δ by ∇ .

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For instance, *q*-extension of m-rule $A_0, A_1/B_0, B_1$ is rule

$$A_0 \nabla q, A_1 \nabla q / B_0 \nabla B_1 \nabla q,$$

where *q* has no occurrences in A_0, A_1, B_0, B_1 . And *q*-extensions of rules A/\perp and \top/B are respectfully rules

$$A \nabla q / q$$
 and $\top / B \nabla q$.

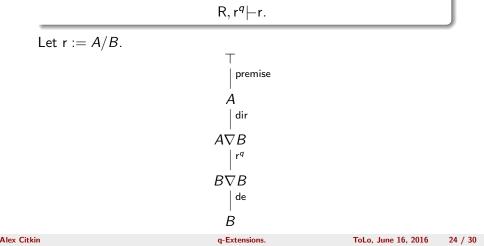
Proposition

Let R be a set of m-rules and ∇ be an m-disjunction for R. For any rule r,

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Proposition

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A set of m-rules R (rules R°) is *independent* if neither m-rule $r \in R$ (neither rule $r \in R^{\circ}$) can be derived from $R \setminus \{r\}$ (o-derived from $R^{\circ} \setminus \{r\}$).

Theorem

Let L be a logic, ∇ be m-disjunction for Adm(L) and R° be an **independent basis** of Adm°(L) consisting of q-extended rules. Then,

(a) If L is s-consistent and Γ is any finite not L-unifiable set of formulas,

 $R^{\circ}, \qquad de, \qquad \Gamma/\bot$

is an **independent basis** for Adm(L);

(b) If L is not s-consistent,

 $R^{\circ},$ de

is an independent basis for Adm(L);

For instance [Jeřábek, 2008], the following is an independent basis of admissible rules for **Int**:

$$\pi_n := ((\bigvee_{i < n} p_i \to p) \to \bigvee_{i < n} p_i) \lor q / \bigvee_{i < n} (p \land \bigwedge_{j \neq i} p_j \to p_i) \lor q.$$

Thus, $\{\pi_n; n > 1, \text{ de, } p \land \neg p/\bot\}$ is an independent m-basis for **Int**. In [Jeřábek, 2008] Jeřábek proves that the following is independent m-basis $(n \neq 1)$

$$\Pi_n := ((\bigvee_{i < n} p_i \to p) \to \bigvee_{i < n} p_i) / \{p \land \bigwedge_{j \neq i} p_j \to p_i; i < n\}.$$

As we see, in the Jeřábek's basis m-rule de is not needed, because the conclusions of rules π_n are already "decomposed".

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As we see, in the Jeřábek's basis m-rule de is not needed, because the conclusions of rules π_n are already "decomposed".

Problem

Is there an intermediate logic with the DP that has an independent basis of admissible rules, but does not have an independent *m*-basis?

Theorem

Let L be a logic and R be a m-basis of Adm(L). Then $D^{\circ} \cup R^{\nabla}$ is basis of $Adm^{\circ}(L)$.

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From the above theorem and absence of finite basis for admissible in **Int** rules [Rybakov, 1984], we have [Rybakov, 1985]

Corollary

There is no finite m-basis for Int.

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For example, we can take the m-basis $\{r_n, de, p \land \neg p/\bot\}$ of Gabbay-de Jongh logic BB_n constructed in [Goudsmit, 2015, Theorem 5.36] and convert it into a basis $\{r_n^q\}$.

$$\begin{aligned} \mathbf{r}_n &:= \quad \left(\vee_{i=1}^n (p_i \to p) \to \vee_{j=1}^n p_j \right) / \vee_{j=1}^n \left((\vee_{i=1}^n p_i \to p) \to p_j \right), \\ \mathbf{r}_n^q &:= q \lor \left(\vee_{i=1}^n (p_i \to p) \to \vee_{j=1}^n p_j \right) / \vee_{j=1}^n \left((\vee_{i=1}^n p_i \to p) \to p_j \right) \lor q. \end{aligned}$$

The proof is based on the following observation:

Final Remarks.

So far we were considering transitions from a given m-basis to a basis, and vise versa. But we can try to use a set of conservative m-rules in order to define all admissible rules. For instance, despite the fact [Rybakov, 1995] that there are tabular intermediate and modal logics whose admissible rules have no finite basis, the following holds.

Proposition

Let L be a tabular extension of **Int** (or **K4**) logic. Then there is a finite set of m-rules R such that R is conservative relative to L and every admissible in L rule is derivable from R.

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Problem

Is there a finite set R of m-rules that is conservative relative to Int and such that every admissible in Int rule is derivable from R?

Thank You

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