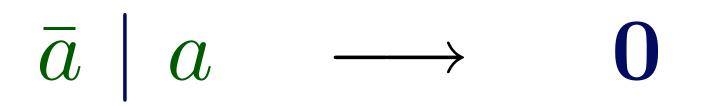
Partiality and

non-determinism

Sam Staton Computer Laboratory, Cambridge and Lab. PPS, Paris

Motivation: π-calculus

Robin Milner

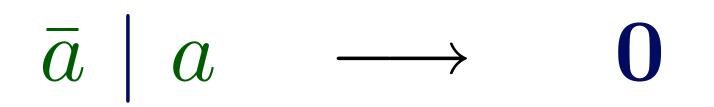




Motivation: π-calculus

Robin Milner







Motivation: π-calculus

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substitute a for b

 $\bar{a} \mid a \longrightarrow 0$



Robin Milner

Motivation: π-calculus

 $\bar{a} \mid b$

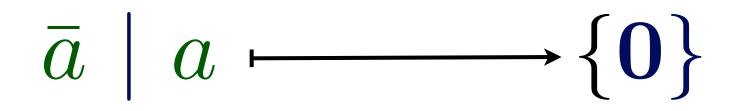
substitute a for b

Two problems.

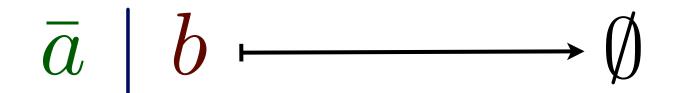
- I. Classify substitution-frames as coalgebras.
- 2. Find an expressive modal logic for substitution frames.

$$\bar{a} \mid a \longrightarrow 0$$

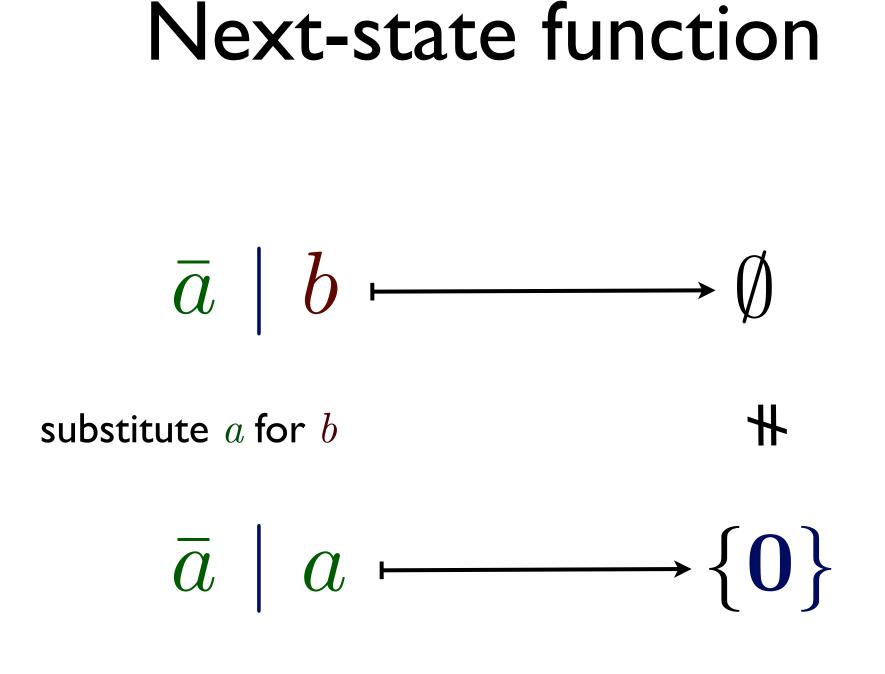
Next-state function



Next-state function



 $\bar{a} \mid a \longmapsto \{\mathbf{0}\}$



Next-state function $\bar{a} \mid b \longmapsto \{ \text{when } a = b \text{ then } 0 \}$

substitute a for b {when a = a then $\mathbf{0}$ } $\bar{a} \mid a \longmapsto \{\mathbf{0}\}$

I. Partial map classifiers

2. Relation classifiers

3. Modal logic

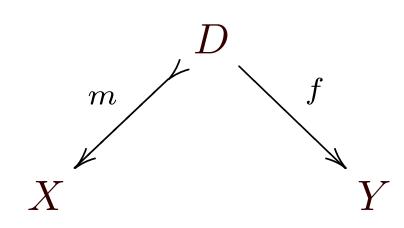
I. Partial map classifiers

2. Relation classifiers

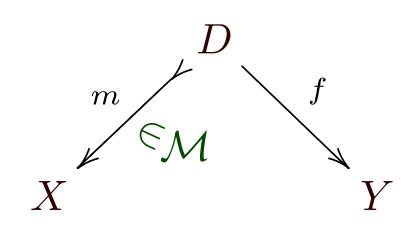
3. Modal logic

- A partial function $X \rightharpoonup Y$ is
 - a subset $D \subseteq X$
 - $\bullet\,$ a total function $\,D \to Y\,$

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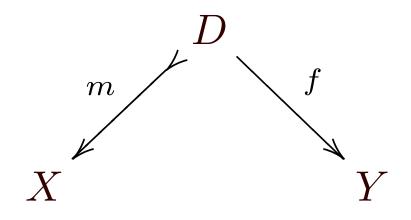


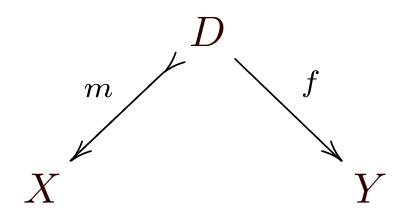
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Admissible monos ${\cal M}$

- contain all isomorphisms;
- $Y \mid \bullet$ closed under composition;
 - and stable under pullback.



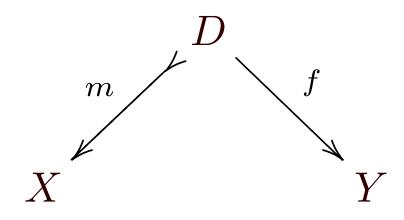


• A partial map classifier for Y is a mono $Y \rightarrow pY$ such that f

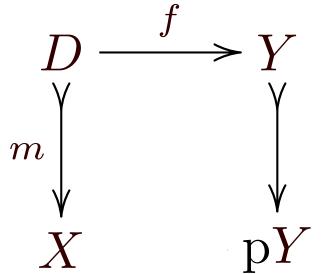
$$D \xrightarrow{J} Y$$

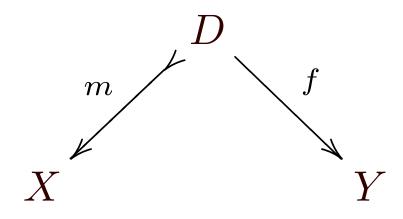
$$m \bigvee_{V}$$

$$X$$

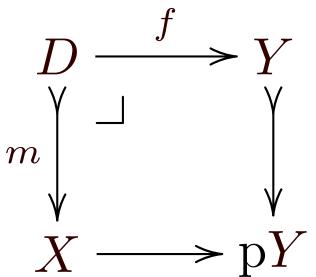


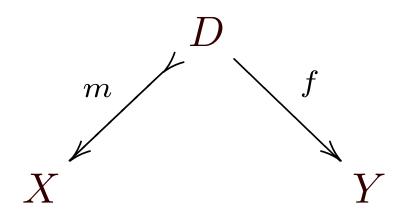
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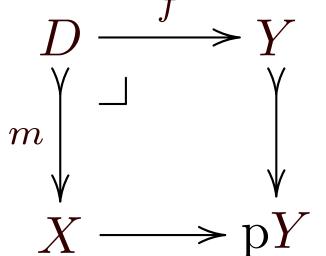


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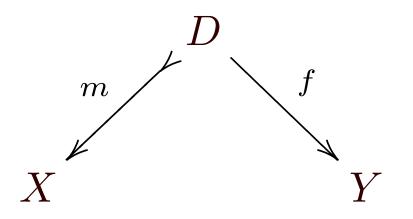




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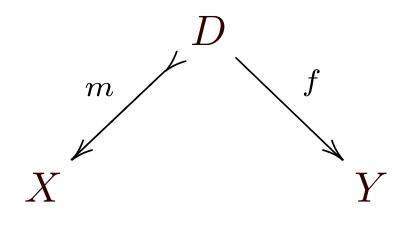
Partial maps into Ycorrespond to (Total) maps into p Y



NEXT we will characterize p Y as a free algebra.

• A partial map classifier for Y is a mono $Y \rightarrow pY$ such that f

Partial maps into Ycorrespond to (Total) maps into p Y



m

NEXT we will characterize p Y as a free algebra.

c.f. M. Jibladze. A presentation of the initial lift algebra. A. Kock. Algebras for the partial map classifier monad.

• A partial map classifier for Y is a mono $Y \rightarrow pY$ such that f

> Partial maps into Ycorrespond to (Total) maps into p Y



Max Kelly & John Power

Operations:

Classically, a signature consists of

- A collection of operations
- For each operation, an arity.



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Max Kelly & John Power

A signature enriched in a cartesian closed category $\ensuremath{\mathcal{C}}$ consists of

- A collection of operations
- For each operation, an arity and a coarity, which are objects of C.



Max Kelly & John Power

Operations:

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A signature enriched in a cartesian closed category $\ensuremath{\mathcal{C}}$ consists of

- A collection of operations
- For each operation, an arity and a coarity, which are objects of \mathcal{C} .

An algebra consists of

- An object A of C (carrier)
- For each opⁿ o, a morphism $coar(o) \times A^{ar(o)} \to A$

Enriched algebraic theory for partial maps

• For every admissible mono $m \colon D \rightarrowtail X$ an operation when_m with arity D and coarity X

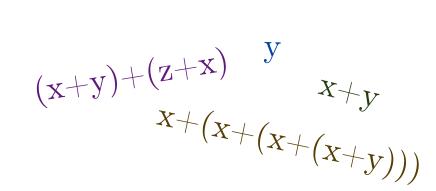
Enriched algebraic theory for partial maps

- For every admissible mono $m \colon D \rightarrow X$ an operation when mwith arity D and coarity X
- So an algebra A has structure when $_m\colon X\times A^D\to A$

• Start with a signature

 $+: X^2 \rightarrow X$

- Start with a signature
- Induce free algebras T(X)



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- Start with a signature
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- Equations are functions

 $+: X^2 \rightarrow X$

(x+y)+(z+x) y x+(x+(x+(x+y)))

• Ihs, rhs : $Y \rightarrow T(X)$ $(x+y), (y+x) : 1 \rightarrow T(\{x,y\})$

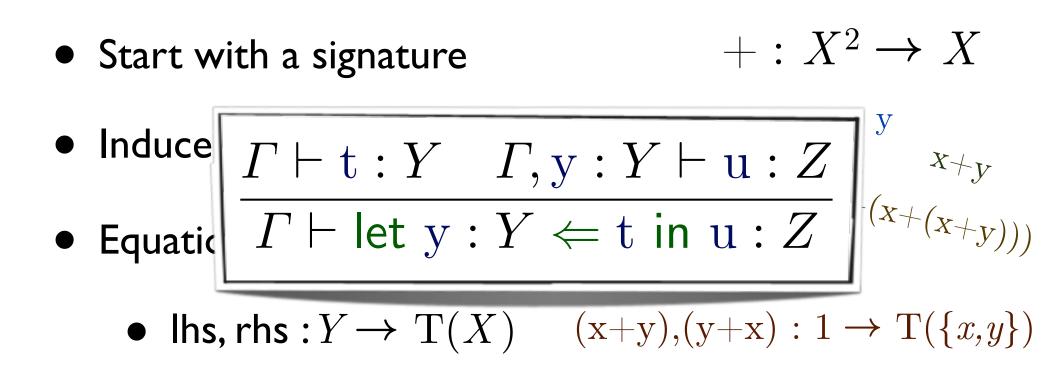
- Start with a signature
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 - Ihs, rhs : $Y \rightarrow T(X)$ $(x+y), (y+x) : 1 \rightarrow T(\{x,y\})$
- Build equations from generic effects using metalanguage

$$\label{eq:choose} \begin{split} \vdash \mathsf{let} \ x \Leftarrow \mathsf{choose}() \ \mathsf{in} \ \mathsf{not}(x) \\ & \approx \ \mathsf{choose}() \ : \{\mathsf{tt},\mathsf{ff}\} \end{split}$$

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Slide 34 of 97



 Build equations from generic effects using metalanguage

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Slide 35 of 97

Enriched algebraic theory for partial maps

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- So an algebra A has structure when $_m \colon X \times A^D \to A$
- The generic effect is $\operatorname{assert}_m \colon X \to T(D)$

 $x \colon X \vdash \mathsf{assert}_{\mathrm{id}}(x) \approx x \colon T(X) \qquad (X \xrightarrow{\mathrm{id}} X)$

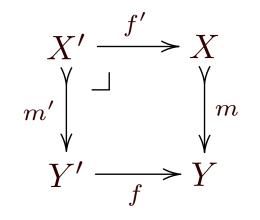
 $x \colon X \vdash \mathsf{assert}_{\mathrm{id}}(x) \approx x \colon T(X) \qquad (X \xrightarrow{\mathrm{id}} X)$

 $z: Z \vdash \text{assert}_m(\text{assert}_n(z)) \approx \text{assert}_{nm}(z) : T(X)$ $(X \xrightarrow{m} Y \xrightarrow{n} Z)$

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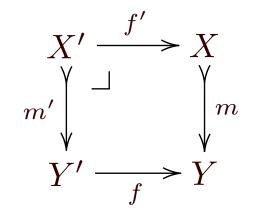
 $y: Y' \vdash f'(\operatorname{assert}_{m'}(y)) \approx \operatorname{assert}_m(f(y)) : T(X)$



 $x: X \vdash \text{assert}_{id}(x) \approx x : T(X)$ $(X \xrightarrow{id} X)$

THEOREM. If there is a partial map classifier then pX is the free algebra over X. $(X \rightarrow Y \rightarrow Z)$

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THEOREM. If there is a partial map classifier z: then pX is the free algebra over X.

 $y: \underbrace{\mathsf{THEOREM.}}_{g} \text{ If } \mathcal{G} \text{ is dense in } \mathcal{C}, \text{ then it is}}_{g} \underbrace{\{X\}}_{f'} \underbrace{\{X\}}_{f'} \xrightarrow{f'} X_{g'} \underbrace{\{X\}}_{m'} \xrightarrow{f'} X_{g'} \xrightarrow{m'} y'$

Z)

two admissible monos

 $m \colon 0 \rightarrowtail 1$ $n \colon 1 \rightarrowtail 1_{\perp}$

two admissible monos $m: 0 \rightarrow 1$ $n: 1 \rightarrow 1_{\perp}$ two operations when $_m \colon 1 \times A^0 \to A$ when $_n \colon 1_\perp \times A^1 \to A$

two admissible monostwo operations $m: 0 \rightarrow 1$ when $_m: 1 \times A^0 \rightarrow A$ $n: 1 \rightarrow 1_{\perp}$ when $_n: 1_{\perp} \times A^1 \rightarrow A$

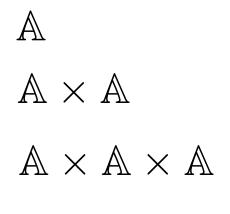
when_n(*, a) = awhen_n(\perp , a) = when_m(*)

two admissible monostwo operations $m: 0 \rightarrow 1$ when $_m: 1 \times A^0 \rightarrow A$ $n: 1 \rightarrow 1_{\perp}$ when $_n: 1_{\perp} \times A^1 \rightarrow A$

when_n(*, a) = awhen_n(\perp , a) = when_m(*)

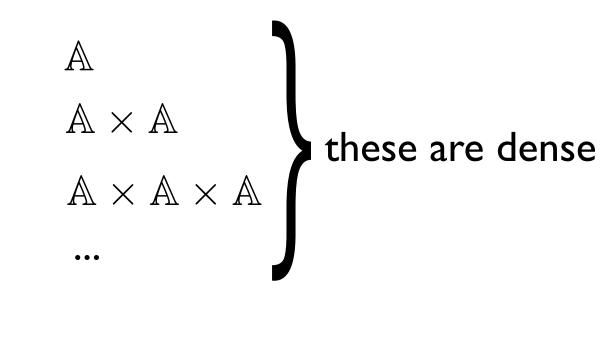
THEOREM. For any poset X, the free algebra for this theory is X_{\perp}

• In the category of substitution actions,

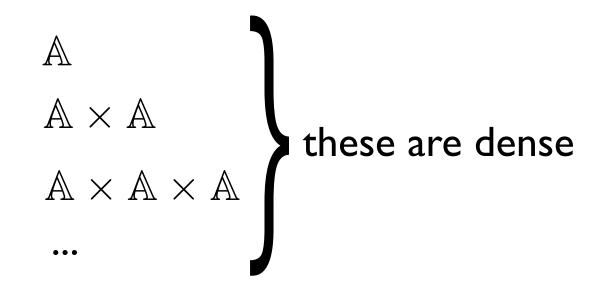


...

• In the category of substitution actions,

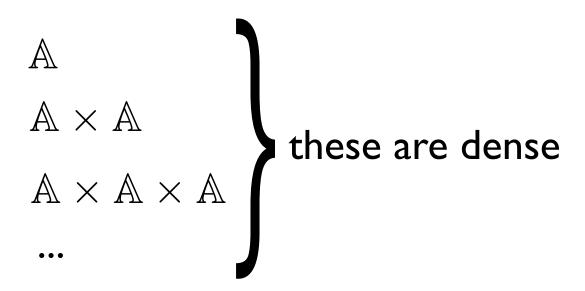


• In the category of substitution actions,



• Hence our monos are predicates over names.

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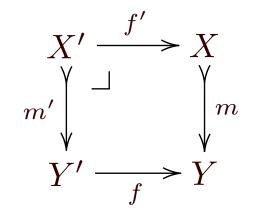
• Hence our monos are predicates over names.

$$\bar{a} \mid b \longmapsto \{ \text{when } a = b \text{ then } \mathbf{0} \}$$
$$\bar{a} \mid a \longmapsto \{ \mathbf{0} \} = \{ \text{when } a = a \text{ then } \mathbf{0} \}$$

 $x: X \vdash \text{assert}_{id}(x) \approx x : T(X)$ $(X \xrightarrow{id} X)$

THEOREM. If there is a partial map classifier then pX is the free algebra over X. $(X \rightarrow Y \rightarrow Z)$

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I. Partial map classifiers

2. Relation classifiers

3. Modal logic

• We want:

THEOREM: Image-finite relations $(\rightarrow) \subseteq X \times X$ correspond to Morphisms $X \to T(X)$.

where T(X) is the free algebra for some theory.

• To obtain this theory we combine the theory of semilattices with the theory of partial maps.

Operations:

 $+: A^2 \to A$ when $_m: X \times A^D \to A$

for $m \colon D \rightarrowtail X$

Operations:

 $+: A^2 \to A \qquad \qquad \text{when}_m: X \times A^D \to A$

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Equations:

$$x + x = x$$
$$x + y = y + x$$
$$x + (y + z) = (x + y) + z$$

Operations:

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when $_m \colon X \times A^D \to A$ for $m \colon D \rightarrowtail X$

Equations:

$$x + x = x$$
$$x + y = y + x$$
$$x + (y + z) = (x + y) + z$$

 $\begin{aligned} x \colon X \vdash \mathsf{assert}_{\mathrm{id}}(x) &\approx x \colon T(X) \\ z \colon Z \vdash \mathsf{assert}_m(\mathsf{assert}_n(z)) &\approx \mathsf{assert}_{nm}(z) \colon T(X) \\ z &\quad y \colon Y' \vdash f'(\mathsf{assert}_{m'}(y)) &\approx \mathsf{assert}_m(f(y)) \ \colon T(X) \end{aligned}$

Operations:

 $+: A^2 \to A$

when_m: $X \times A^D \to A$ for $m: D \rightarrow X$

Equations:

$$\begin{array}{ll} x + x = x & x \colon X \vdash \mathsf{assert}_{\mathrm{id}}(x) \approx x : T(X) \\ x + y = y + x & z \colon Z \vdash \mathsf{assert}_m(\mathsf{assert}_n(z)) \approx \mathsf{assert}_{nm}(z) : T(X) \\ x + (y + z) = (x + y) + z & y \colon Y' \vdash f'(\mathsf{assert}_{m'}(y)) \approx \mathsf{assert}_m(f(y)) : T(X) \end{array}$$

 $\begin{array}{l} x \colon X \vdash \mathsf{let} \ b = \mathsf{choose}() \ \mathsf{in} \ \mathsf{let} \ d = \mathsf{assert}_m(x) \ \mathsf{in} \ (b,d) \\ \approx \ \mathsf{let} \ d = \mathsf{assert}_m(x) \ \mathsf{in} \ \mathsf{let} \ b = \mathsf{choose}() \ \mathsf{in} \ (b,d) \end{array}$

Operations:

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when
$$_m \colon X \times A^D \to A$$
 for $m \colon D \rightarrowtail X$

Equations:

$$\begin{array}{ll} x+x=x & x\colon X\vdash \mathsf{assert}_{\mathrm{id}}(x) \ \approx \ x \ : T(X) \\ x+y=y+x & z\colon Z\vdash \mathsf{assert}_m(\mathsf{assert}_n(z)) \ \approx \ \mathsf{assert}_{nm}(z) \ : T(X) \\ x+(y+z)=(x+y)+z & y\colon Y'\vdash f'(\mathsf{assert}_{m'}(y)) \ \approx \ \mathsf{assert}_m(f(y)) \ : T(X) \end{array}$$

$$x \colon X \vdash \text{let } b = \text{choose}() \text{ in let } d = \text{assert}_m(x) \text{ in } (b, d)$$
$$\approx \text{ let } d = \text{assert}_m(x) \text{ in let } b = \text{choose}() \text{ in } (b, d)$$

$$x \colon X \vdash \left(\text{if choose}() \text{ then } m(\text{assert}_m x) \text{ else } n(\text{assert}_n x) \right) \\ \approx (m \lor n)(\text{assert}_{m \lor n} x)$$

Operations:

<u>Equations:</u>

$$+: A^2 \to A \qquad \qquad \text{when}_m: X \times A^D \to A$$

: T(X)

T(X)

for $m \colon D \rightarrowtail X$

 $\begin{array}{l} x + x = x \\ x + y = y \\ x + (y + z) = (\vdots \\ \end{array} \quad \begin{array}{l} \text{In a topos, free algebras exist and} \\ \text{classify a class of relations.} \end{array}$

THEOREM:

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THEOREM:

$$x: X \vdash \text{let } b = \frac{\text{The free algebra } X \text{ is } \tilde{K}(X)}{\approx \text{ let } d} = \frac{\text{The free algebra } X \text{ is } \tilde{K}(X)}{\text{c.f. P. Freyd, Numerology in topology i$$

$$x \colon X \vdash \left(\text{if choose}() \text{ then } m(\text{assert}_m x) \text{ else } n(\text{assert}_n x) \\ \approx (m \lor n)(\text{assert}_{m \lor n} x) \right)$$

$+\colon X\times X\to X\qquad \mathbf{0}\colon 1\to X$ when $:\mathbb{A}\times\mathbb{A}\times X\to X$

$$+\colon X\times X\to X \qquad \mathbf{0}\colon 1\to X$$
 when $:\mathbb{A}\times\mathbb{A}\times X\to X$

$$x + x = x$$

$$x + y = y + x$$

$$x + (y + z) = (x + y) + z$$

$$x + \mathbf{0} = x$$

$$+\colon X \times X \to X \qquad \mathbf{0} \colon 1 \to X$$

when $: \mathbb{A} \times \mathbb{A} \times X \to X$

$$\begin{array}{l} x + x = x \\ x + y = y + x \\ x + (y + z) = (x + y) + z \\ x + \mathbf{0} = x \end{array} \qquad \begin{bmatrix} a = b \end{bmatrix} [a = b] x = [a = b] x \\ \begin{bmatrix} a = b \end{bmatrix} [c = d] x = [c = d] [a = b] x \\ \begin{bmatrix} a = b \end{bmatrix} [b = c] x = [a = b] [a = c] x \\ \begin{bmatrix} a = a \end{bmatrix} x = x \\ \begin{bmatrix} a = a \end{bmatrix} x = x \\ \begin{bmatrix} a = b \end{bmatrix} x = [b = a] x \end{array}$$

$$+\colon X \times X \to X \qquad \mathbf{0} \colon 1 \to X$$

when : $\mathbb{A} \times \mathbb{A} \times X \to X$

$$\begin{array}{l} x + x = x \\ x + y = y + x \\ x + (y + z) = (x + y) + z \\ x + \mathbf{0} = x \end{array} \qquad \begin{bmatrix} a = b \end{bmatrix} [a = b] x = [a = b] x \\ \begin{bmatrix} a = b \end{bmatrix} [c = d] x = [c = d] [a = b] x \\ \begin{bmatrix} a = b \end{bmatrix} [c = d] x = [c = d] [a = b] x \\ \begin{bmatrix} a = b \end{bmatrix} [b = c] x = [a = b] [a = c] x \\ \begin{bmatrix} a = a \end{bmatrix} x = x \\ \begin{bmatrix} a = b \end{bmatrix} x = [b = a] x \end{array}$$

$$[a = b] \mathbf{0} = \mathbf{0}$$

[a = b] (x + y) = ([a = b] x) + ([a = b] y]
[a = b] x + x = x
[a = b] x = [a = b] ({^a/_b}x)

$$+: X \times X \to X \qquad \mathbf{0}: 1 \to X$$
when $\cdot \mathbb{A} \times \mathbb{A} \times X \to X$

THEOREM: $egin{aligned} & u = b \ x \ z = d \ a = b \ x \ u = b \ a = c \ x \end{aligned}$ Substitution-frames correspond bijectively with =a] xcoalgebras for the free substitution semilattice [a = b] (x + y) = ([a = b] x) + ([a = b] y)[a=b]x + x = x $[a = b] x = [a = b] (\{a/b\}x)$

$$+: X \times X \to X \qquad \mathbf{0}: 1 \to X$$
when : $\mathbb{A} \times \mathbb{A} \times X \to X$

THEOREM:Substitution-framescorrespond bijectively withcoalgebras for the free substitution semilattice

e.g.
$$\overline{a} \mid b \longmapsto \{\text{when } a = b \text{ then } \mathbf{0}\}$$

 $\overline{a} \mid a \longmapsto \{\mathbf{0}\} = \{\text{when } a = a \text{ then } \mathbf{0}\}$

$$+: X \times X \to X \qquad \mathbf{0}: 1 \to X$$
when : $\mathbb{A} \times \mathbb{A} \times X \to X$

THEOREM:

Substitution-frames

correspond bijectively with

coalgebras for the free substitution semilattice

$$[a = b](x + y) = ([a = b]x) + ([a = b]y)$$

$$[a = b]x + x = x$$

$$[a = b]x = [a = b](\{a/b\}x)$$



c.f. Davide Sangiorgi A theory of bisimulation for the π -calculus

I. Partial map classifiers

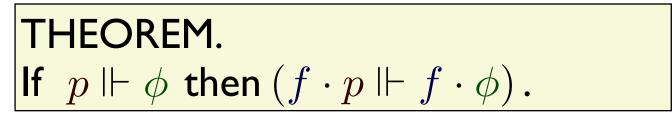
2. Relation classifiers

3. Modal logic

• We want a modal logic for substitution frames such that

THEOREM. If $p \Vdash \phi$ then $(f \cdot p \Vdash f \cdot \phi)$.

Two states satisfy the same formulae *iff* they are related by a substitution-closed bisimulation • We want a modal logic for substitution frames such that



Two states satisfy the same formulae *iff* they are related by a substitution-closed bisimulation

 Aside: we do already have the following: THEOREM (Bartek Klin, 2008). Every finitary functor on a strongly locally finitely presentable category admits an expressive logic.

 $\phi ::= \mathbf{T} \mid \mathbf{F} \mid \phi \land \phi \mid \phi \lor \phi \mid \Diamond \phi \mid (a = b) \mid \phi \supset \phi \mid \Box \phi$

 $\phi \ ::= \ \mathbf{T} \ | \ \mathbf{F} \ | \ \phi \land \phi \ | \ \phi \lor \phi \ | \ \Diamond \phi \ | \ (a = b) \ | \ \phi \supset \phi \ | \ \Box \phi$

 $p \Vdash T$ always

 $p \Vdash F$ never

 $p\Vdash\phi\wedge\psi$

 $p \Vdash \phi \lor \psi$

 $p \Vdash \Diamond \phi$

iff($p \Vdash \phi$) and $(p \Vdash \psi)$ iff($p \Vdash \phi$) or $(p \Vdash \psi)$ iff $\exists q. \ p \to q$ and $(q \Vdash \phi)$

 $\phi \ ::= \ \mathbf{T} \ | \ \mathbf{F} \ | \ \phi \land \phi \ | \ \phi \lor \phi \ | \ \Diamond \phi \ | \ (a = b) \ | \ \phi \supset \phi \ | \ \Box \phi$

 $p \Vdash T$ always

 $p \Vdash F$ never

 $p \Vdash \phi \land \psi \quad \text{iff}(p \Vdash \phi) \text{ and } (p \Vdash \psi)$ $p \Vdash \phi \lor \psi \quad \text{iff}(p \Vdash \phi) \text{ or } (p \Vdash \psi)$ $p \Vdash \Diamond \phi \quad \text{iff } \exists q. \ p \to q \text{ and } (q \Vdash \phi)$ $p \Vdash (a = b) \quad \text{iff } a \text{ is equal to } b \quad (a, b \in \mathbb{A})$

 $\phi ::= \mathbf{T} \mid \mathbf{F} \mid \phi \land \phi \mid \phi \lor \phi \mid \Diamond \phi \mid (a = b) \mid \phi \supset \phi \mid \Box \phi$

 $p \Vdash T$ always

 $p \Vdash F$ never

 $\begin{array}{ll} p \Vdash \phi \land \psi & \text{iff}(\ p \Vdash \phi) \text{ and } (p \Vdash \psi) \\ p \Vdash \phi \lor \psi & \text{iff}(\ p \Vdash \phi) \text{ or } (p \Vdash \psi) \\ p \Vdash \Diamond \phi & \text{iff} \ \exists q. \ p \to q \ \text{ and } \ (q \Vdash \phi) \\ p \Vdash (a = b) & \text{iff} \ a \text{ is equal to } b & (a, b \in \mathbb{A}) \\ p \Vdash \phi \supset \psi & \text{iff} \ \forall f. \ (f \cdot p \Vdash f \cdot \phi) \text{ implies } (f \cdot p \Vdash f \cdot \psi) \end{array}$

 $\phi ::= \mathbf{T} \mid \mathbf{F} \mid \phi \land \phi \mid \phi \lor \phi \mid \Diamond \phi \mid (a = b) \mid \phi \supset \phi \mid \Box \phi$

 $p \Vdash T$ always

 $p \Vdash F$ never

 $\begin{array}{ll} p \Vdash \phi \land \psi & \text{iff}(\ p \Vdash \phi) \text{ and } (p \Vdash \psi) \\ p \Vdash \phi \lor \psi & \text{iff}(\ p \Vdash \phi) \text{ or } (p \Vdash \psi) \\ p \Vdash \Diamond \phi & \text{iff} \ \exists q. \ p \to q \ \text{ and } \ (q \Vdash \phi) \\ p \Vdash (a = b) & \text{iff} \ a \text{ is equal to } b & (a, b \in \mathbb{A}) \\ p \Vdash \phi \supset \psi & \text{iff} \ \forall f. \ (f \cdot p \Vdash f \cdot \phi) \text{ implies } (f \cdot p \Vdash f \cdot \psi) \\ p \Vdash \Box \phi & \text{iff} \ \forall f. \ \forall q. \ (f \cdot p) \to q \implies q \Vdash (f \cdot \phi) \end{array}$

THEOREM. If $p \Vdash \phi$ then $(f \cdot p \Vdash f \cdot \phi)$.

 $\phi ::= T \mid F \mid \phi \land \phi \mid$ Two states satisfy the same formulae $p \Vdash T$ always they are related by a $p \Vdash F$ never substitution-closed bisimulation $p \Vdash \phi \land \psi$ iff $(p \Vdash \phi)$ and $(p \Vdash \psi)$ iff $(p \Vdash \phi)$ or $(p \Vdash \psi)$ $p \Vdash \phi \lor \psi$ $p \Vdash \Diamond \phi$ iff $\exists q. p \rightarrow q$ and $(q \Vdash \phi)$ $p \Vdash (a = b)$ iff a is equal to b $(a, b \in \mathbb{A})$ $p \Vdash \phi \supset \psi$ iff $\forall f. (f \cdot p \Vdash f \cdot \phi)$ implies $(f \cdot p \Vdash f \cdot \psi)$ iff $\forall f. \ \forall q. \ (f \cdot p) \to q \implies q \Vdash (f \cdot \phi)$ $p \Vdash \Box \phi$